

Case Docket No. ST98023A

BOX PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Chamsy SARKIN and Jacques MALLET

International Appln.

No.: PCT/FR99/01813

International Appln.

Filing Date: 23 July 1999

U.S. Serial No.: 09/744,488

For: VECTORS DERIVED FROM BACULOVIRUS AND USE FOR  
TRANSFERRING NUCLEIC ACIDS INTO NERVE CELLS OF  
VRETEBRATES

**CERTIFICATE OF MAILING (37 CFR 1.10)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below as Express Mail No. EL685154899US in an envelope addressed to the: Commissioner for Patents, BOX PCT, Washington, D.C. 20231.

Date:

5-11-01

Maryann Barut  
(Type or print name of person mailing paper)

Maryann Barut  
(Signature of person mailing paper)

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US)**

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed February 28, 2001, enclosed herewith is a copy of Form PCT/DO/EO/905 and the original executed Declaration and Power of Attorney for Patent Application. Please charge the surcharge fee of \$130.00 as set forth in 37 CFR 1.16(e) to the Deposit Account No. 18-1982.

Also submitted herewith in response to the Notification of Missing Requirements (Form PCT/DO/EO/905) is a copy of the English translation of

the above-identified PCT Application No. FR99/01813, filed in the French language on 23 July 1999. Please charge the surcharge fee of \$130.00 as set forth in 37 CFR 1.17(k) to Deposit Account No. 18-1982.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to the above Deposit Account.

These documents should complete the filing requirements for the above-identified application.

Respectfully submitted,



Karen I. Krupen

Registration No. 34,647

**Aventis Pharmaceuticals Inc.**

Route 202-206; Mail Code: EMC-G1

Bridgewater, NJ 08807

(908) 231-4658

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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/744488	SARKIS	C ST98023A
INTERNATIONAL APPLICATION NO.		
PCT/FR99/01813		
I.A. FILING DATE		PRIORITY DATE
23 JUN 98		20 JUL 98
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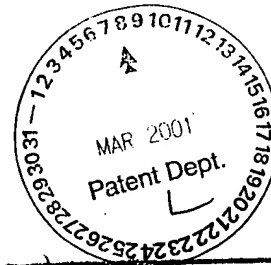
KAREN I. KRUPEN  
AVENTIS PHARMACEUTICALS PRODUCTS INC  
ROUTE 202-206 / PATENT DEPT.  
BRIDGEWATER, NJ 08807

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):  
☒ U.S. Basic National Fee.  
☒ Copy of the international application in:  
☒ a non-English language.  
☐ English.

- ☐ Translation of the international application into English.  
☒ Oath or Declaration of inventors(s) for DO/EO/US.  
☐ Copy of Article 19 amendments.  
☐ Translation of Article 19 amendments into English.  
☒ The International Preliminary Examination Report in English and its Annexes, if any.  
☐ Translation of Annexes to the International Preliminary Examination Report into English.  
☐ Preliminary amendment(s) filed \_\_\_\_\_  
☐ Information Disclosure Statement(s) filed \_\_\_\_\_  
☐ Assignment document.  
☐ Power of Attorney and/or Change of Address.  
☐ Substitute specification filed \_\_\_\_\_  
☐ Verified Statement Claiming Small Entity Status.  
☒ Priority Document.  
☒ Copy of the International Search Report ☒ and copies of the references cited therein  
☐ Other:



ACTION DUE

DUE DATE

TKTD BY

ATTY

Response to  
Office of Missing Parts 3-28-01  
Response to missing  
Parts Deadline 8-28-01  
Rji  
KIK

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☒ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.  
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.  
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado

Telephone: 703-305-6421